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Alpha ESS Australia Pty. Ltd.
Unit 1, 2 Ralph Street
Alexandria NSW 2015 Australia

WARRANTY CONDITIONS

For the AlphaESS Product Series

Important Note: Australian Consumer Law

If you have purchased your product in Australia, you should be aware that:
This warranty is provided in addition to other rights and remedies held by a consumer at law. Our goods come with guarantees that cannot be excluded under the Australian Consumer Law. You are entitled to a replacement or refund for a major failure and for compensation for any other reasonably foreseeable loss or damage. You are also entitled to have the goods repaired or replaced if the goods fail to be of acceptable quality and the failure does not amount to a major failure.

Warranty

Subject to the terms and conditions detailed below, we provide a voluntary product warranty (the **Warranty**) to end users of the following products (the **Products**) which are supplied by us in Australia and New Zealand:

- Li-ion battery unit (M4856-P/M4856-S/M4850-SA/SMILE5-BAT/SMILE-BAT-10.1P/SMILE-BAT-10.3P/SMILE-BAT-13.3P/SMILE-BAT-5PH/SMILE-BAT-8.2PH/SMILE-BAT-5P) including BMS
- SMILE5 system including hybrid inverter and batteries
- SMILE-T10 system including hybrid inverter and batteries
- SMILE-B3 system including battery inverter and batteries
- SMILE-B3-GW, SMILE-B5-GW system including battery inverter and batteries
- SMILE-S6-HV system including hybrid inverter and batteries
- SMILE-T10-HV system including hybrid inverter and batteries
- SMILE-B3-PLUS system including battery inverter and batteries
- SMILE-S5 system including hybrid inverter and batteries
- SMILE-S3.6 system including hybrid inverter and batteries

This warranty only applies to new product. Second hand product may carry partial warranty subject to its previous operation/install/de-install conditions.

This Warranty is non-transferable except: Where the Products are installed in a building, this



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Warranty will then transfer to any subsequent purchaser of that building or of the Products so long as the Products remain installed.

This Warranty only applies where Products have been installed by a properly certified battery installer (CEC approved), and correctly followed the installation manual.

General Terms

We warrant that we will repair or replace (at our option) a Product or any part thereof, if such Product is faulty or defective in manufacture or materials for a period of 5 years (10 years battery warranty for SMILE5-BAT, SMILE-B3, M4856-P, SMILE-BAT-10.1P, SMILE-BAT-10.3P, SMILE-BAT-13.3P, SMILE-BAT-5PH/SMILE-BAT-8.2PH/SMILE-BAT-5P, SMILE-B3-PLUS, SMILE-S5) from the earlier of (i) the date the energy storage system is installed at the end user's property or (ii) the date six months after the Product being sold to another business or personnel.

We will endeavor to replace Products with identical products. However, due to technological advancements, that Product may not be available. In these cases, we will supply another type of product of at least the same value and standard, although the replacement product may be a different size, shape, color and/or capacity. Due to technical advances it is possible that replacement parts or components may not be compatible with the other components already installed. Any costs relating to the incompatibility of systems is not covered by this Warranty.

If the products are replaced within the warranty period, the remaining warranty period will be automatically transferred to the replacement products. In this event, you will not receive a new certificate.

It is required that all systems have internet connection for monitoring. Those systems that are not connected to the internet the warranty is then reduced to 3 years.

Each time a warranty claim is made against a system that has no internet connection, the installer or the end user is obliged to organize a qualified personnel to conduct an on-site inspection and data collection under the instruction of AlphaESS.



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We monitor systems and inform end customers via internet in the event of defects in the material or workmanship of the product within the warranty period. For systems with no internet connection, the end customer should inform us ASAP if a product is found to be defect in order to qualify for repair or replacement under the warranty.

This Warranty only covers repair or replacement of the defective product. It does not cover:

- any costs incurred by the end-user or the installer in normal or scheduled maintenance of the Product; or
- any other costs such as transportation, travelling and accommodation cost of personnel etc.;
- subject to any law to the contrary, any damage to property, personal injury, direct or indirect loss, any consequential losses or other expenses arising from breach of this Warranty.

Battery Performance Guarantee

Upon the granting of the Warranty (with internet connection), we guarantee as follows:

For systems operate under self-consumption mode, we warrant that the each battery module retains at least eighty percent (80%) of its usable capacity for 120 months from the earlier of (i) the date the battery storage system is installed at the end user's property or (ii) the date two months after the Product being sold to another business or personnel.

For other applications, the warranty can expire earlier if a total energy of 2.92MWh per kWh usable capacity has been dispatched from the battery.

Conditions

This Warranty is subject to the following conditions:

- The Products must have been installed and correctly commissioned by an authorized and licensed installer. Proof may be required of correct commissioning of the Product (such as certificate of compliance). Claims for failures due to incorrect installation or commissioning are not covered under this Warranty.
- Where a Product or part thereof is replaced or repaired under this Warranty, the

balance of the original Warranty period will apply. The replacement product or part(s) do not carry a new voluntary warranty.

- The Product must have its original serial number and rating labels intact and readable.
- Define following Term:

Short Period: environment at -20 ~ 45°C for less than 1 month

Long Period: environment at 0 ~ 35 °C for more than 1 month

Batteries should be stored indoor with a dry and clean environment in Short Period or Long Period. Avoiding contact with corrosive substances and staying away from fire and heat source.

- For batteries that will not be used for a Long Period, they should be fully charged and discharged at least one time every 6 months
- This Warranty does not extend to any Products that have been completely or partially disassembled or modified, except where such disassembly is carried out by AlphaESS.
- The terms of this Warranty cannot be amended except in writing by one of our authorized officers.
- This Warranty only applies to Products purchased by an end-user from us directly or a reseller where the Products have been sold to the reseller by us directly.
- Any warranty claim under this Warranty must meet the requirements set out below in the “How to Make a Warranty Claim” section.
- There must have been a commissioning report signed by the end-user and the installer for product commissioning and handling instructions.

Exclusions

This warranty will not apply to a defect or fault to the extent to which one or more of these conditions arises:

- due to storage, handling, installation (or removal and/or reinstallation) or commissioning of the Product otherwise than in accordance with instructions provided by us, applicable safety regulations or without reasonable care including installation of a Product which is of an inappropriate size or type for the intended



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purpose;

- due to operation, use or maintenance of the Product otherwise than in accordance with instructions provided by us or without reasonable care (including failure to maintain/ clean the Product in accordance with recommendations in instruction/ operation manuals);
- due to accidental damage, theft or vandalism, or use of the Product for a purpose or in environmental conditions for which the Products were not designed for or sold, or use of the Products outside the specified or normal operating ranges for such Products;
- as a result of changes which occur in the condition or operational performance of the Product due to climate or other environmental influence, foreign material contamination (e.g. dirt, smoke, salt, chemicals and other impurities), water entry, exposure to excessive heat or solvents or because of use of the Product with insufficient ventilation (in particular the maximum temperatures according to the operating manual), exposure to strong vibrations, exposure to a strong magnetic field or damage as result of Force Majeure event;
- from normal wear and tear or when replacement or repair of parts would be part of normal maintenance or service of the Product or where the damage is only to surface coating, varnish or enamel;
- as a result of repairs, alterations or modifications to the Product which have been performed by a third party not authorized by us;
- from the use of any spare parts not manufactured, sold or approved by us in connection with the repair or replacement of Product; or as a result of the interconnection of the Product with products of another manufacturer; or as a result of any other defective or malfunctioning parts in the system into which the Product has been installed;
- where the nameplate or serial number of the Product is modified, altered or not readable;
- if damage has occurred during transportation; or
- other damages not affecting energy generation and which are of a visual nature (e.g. surface scratching).

This Warranty does not apply to damage caused by continued use of the Product after it is known, or would have been known with regular servicing, it is defective.



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Customer's assistance in returning the faulty unit:

Following the receipt of the replacement unit, the customer must return the allegedly faulty unit in the same packaging material as the replacement unit. AlphaESS will supply all labels, documentation and freight details for the return of the allegedly faulty unit. All allegedly faulty inverters must be returned within 10 (ten) working days of the receipt of the replacement unit. A qualified installer must be available for the unit exchange and re-commissioning. The replacement unit will be covered by the original warranty terms of the faulty unit for the remaining warranty period of the faulty unit.

Wrong Deliveries and Transit Damage

Wrong deliveries, incorrect or damaged packing and transit damage claims are not warranty claims. Such cases should be referred to Customer Service on www.alpha-ess.com.

How to Make a Warranty Claim

If a Product fails within the Warranty period, the end-user must stop using the Product or the system in which the Product is installed as the case may be by isolating the Product from any energy source, make a claim as soon as possible and follow all instructions provided by us, or our representative or agents.

To make a Warranty claim under this voluntary warranty, the end-user must contact us by the customer feedback system in online monitoring:

<https://service.alphaess.com/Common/ComplaintsProcessing/Index> or by email at australia@alpha-ess.com.

When contacting us by the online monitoring, please fill in the relevant form;

When contacting us by email, please have the following information to hand:

- Your name, address, postcode and a telephone number where you can be contacted
- The model designation and serial number of the Product (you can find both on the Product)
- Proof of purchase with date and address of the vendor
- Installation date and installation address



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- Signed commissioning report or protocol
- Contact details of the installer
- A complete and detailed list of observed faults and other information which could help with the analysis of the fault (e.g. any modifications)

Costs of Submitting a Warranty Claim

For invalid claims under this Warranty, we will not be liable for the end-user's costs in making the warranty claim, including transport or return freight.

In respect of valid claims under this Warranty, the end-user will not be charged for reasonable costs associated with the making of a warranty claim, including warranty processing costs, The cost of replacement parts or freight, and labor cost associated with the Products removal and installation. Reimbursement for necessary and reasonably incurred costs or expenses in making valid warranty claims under this Warranty may be claimed from us. For labor costs reimbursement under a valid warranty claim, it will be limited to maximum AUD 135 plus GST per claim. Documentary evidence in support of such claim will be required.

Deadlines for Submitting Warranty Claims

We aim to rectify genuine quality problems as a priority. This is generally achieved by investigating why defective products have failed and by introducing immediate corrective action measures to prevent re-occurring of the warranty failures. It is therefore critical that all claims under this Warranty are promptly submitted to us as soon as the Product fails, and in any event, within three months of knowledge of the matter of event giving rise to the claim. No consideration will be given to claims under this Warranty which are made after this period.

Product Liability and Product Safety

We should be informed immediately about any potential product safety concerns within and outside the warranty period. We are well aware of our product liability and product safety obligations and responsibilities. It is our aim to ensure appropriate product safety standards are met in order to avoid injury, loss and damage caused by defects in any Product.



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Miscellaneous

This Warranty shall form part of the purchase contract in respect of the Product between us and the end-user and shall be complied with by both parties.

Contact Details

This warranty is offered by [Alpha ESS Australia Pty. Ltd., Unit 1, 2 Ralph Street Alexandria NSW 2015].

For any questions, please contact +61 1300 968 933.